

# BNP PARIBAS SECURITIES SERVICES – THE RIGHT PARTNER TO INVEST IN INDIA

## ABOUT US

BNP Paribas Securities Services is the one stop solution to help you to access and invest into India's capital markets.

Having established our Indian operations over 160 years ago, BNP Paribas has a long term commitment to this important and growing market.

In 2011 we extended our range of services and established our securities services team in Mumbai, and ten years later, BNP Paribas Securities Services India is an well-regarded custodian with a difference.

We are a global custodian with multi-local and multi-asset capabilities. We guide clients through the nuances of the Indian market and regulations, simplifying the process and making it easy for our foreign clients to invest in India.

This client-centric approach is paying off; our clients love our service, rating us highly for expertise and client service and as a result we are one of the fastest growing custodians in India.

## OUR SERVICES

Over the years, we have built a complete suite of products and services including custody, settlement, derivatives clearing, banking, safe keeping of assets, treasury and FX.

## GROWTH STORY

Within India, the financial services industry is rapidly changing due to the influence of increasing competition, regulatory reforms, evolving investor behavior and technological changes. We offer strong knowledge support of local market specificities and evolving regulations. We have close working relationships with key industry regulators and partners, as well as industry groups, which helps us to develop the solutions to support our clients' business strategies.

India's capital markets are not immune to the rapid changes brought about by new technology and as a result market participants are increasingly re-evaluating their business models.

We can also provide faster interaction and connectivity to the capital markets, by helping our clients to navigate the frequent regulatory, tax and technology changes.

Helping you to access India quickly, simply and easily is at the core of our service offering.

## BNP PARIBAS SECURITIES SERVICES INDIA



### CUSTODY

- Safekeeping of securities.
- Settlement of transactions.
- Announcement tracking.
- Entitlement calculation.
- MIS and reporting.



### DERIVATIVES CLEARING

- Execution and clearing by BNP Paribas Securities Services



### BROKERAGE

- Brokerage services offered including equities, derivatives and bonds market by BNP Securities India Pvt Ltd (SIPL).



### RESEARCH

- Cover around 100 stocks done by SIPL.
- Periodic reports and investor conference calls.



### BANKING SUPPORT

- Maintaining operating account.
- Making payments to vendors and investors.
- Using account for tax payments + tax deducted at source (TDS) payments.



### INTERNATIONAL SUPPORT AND EXPERTISE

- Undertaking for Collective Investment in Transferable Securities (UCITS)
- Hong Kong, Singapore, Mauritius etc.

**When working with BNP Paribas Securities Services India, you can expect a trusted partner to protect your assets, support your operating model and tailor solutions to the needs of your business.**



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# BNP PARIBAS SECURITIES SERVICES

## INDIA AT A GLANCE



We combine international service standards with **local experience and insights** resulting in best-in-class solutions for international investors.



### **Highly ranked by external parties.**

The Bombay Stock Exchange – India's oldest and largest stock exchange - rated BNP Paribas Securities Services India as a top performer in the Primary Market Segment (Equity - IPO/ FPO Bids – Custody Banks) FY 2018-19.



### **Highly ranked by clients for customer service.**

Our team of custody experts provides support from 07.00 am to 11.00 pm IST to service clients in Europe, the Americas and Asia Pacific. Our dedicated client support and onboarding teams provide a rapid and smooth experience. We have demonstrated our agility to migrate a client's account from an incumbent custodian in under a week.



Able to serve all asset classes in India and all settlement methodologies (early pay-in as well as normal pay-in of funds and securities). 99% of processes are automated.



Experienced subject matter experts (operations, product, tax etc.) are supported by offshore teams for maximum hours coverage.



We have a dedicated global relationship manager to oversee the overall relationship with your business, who is supported by a relationship manager in India. This client service model offers the best combination of global and local expertise.



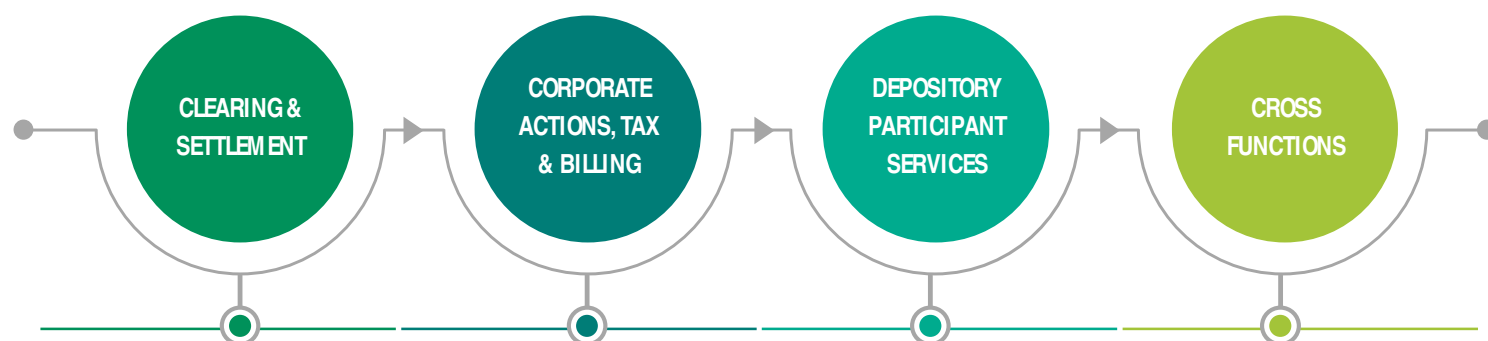
We are actively engaged in and at the forefront of addressing issues related to capital market efficiency, and are in continuous dialogue with regulators on critical topics.



We are one of the first custodians to support the new corporate fund structure in Singapore - Variable Capital Company (VCC) setup which is designed to be internationally competitive and could be used to access India too.



## CUSTODY OPERATIONS



- Securities and fund settlement
- Position limit monitoring (equity and debt)
- Instruction / trade processing
- Confirmation / clearing of trades
- Funds settlement
- Margin payment / release
- Reporting to direct clients
- Brokerage payment.
- Handling of end-to-end process for equity and debt trades

- Capturing of all corporate actions
- Processing of income and security events
- Primary market trade processing
- Tax reporting of direct client trades and corporate actions
- Billing of all direct client entities as per the agreed fee schedule
- Handling of end-to-end processing of corporate action events and also primary market instruction

- Timely and accurate demat account opening with due adherence to depository and SEBI regulations.
- Scrutiny of KYC documents in line with depository and Securities and Exchange Board of India (SEBI) regulations.
- Scanning and uploading of all client KYC, registration with KRA as per KYC regulations.
- Deliver instruction slip issuance and processing and processing of dematerialization / rematerialisation of stocks requests received.
- Monitoring of anti money laundering checkpoints and resolution of any breaks

- Regulatory and compliance reporting
- Forward FX limit monitoring.
- Group finance reporting
- Daily stock and cash reconciliation
- Vault maintenance for physical securities and periodic reconciliation
- Static data maintenance
- Handling of all regulatory reporting and FX processing for BNP Paribas including static data setup.

## OUR OFFER IN INDIA FOR INSTITUTIONAL CLIENTS



### SUPPORT IN INDIA INBOUND AND OUTBOUND

- Foreign Portfolio Investors (FPI)
- Foreign Direct Investment (FDI)
- Foreign Venture Capital Investors (FVCI)
- Undertakings for Collective Investment in Transferable Securities (UCITS) funds in Lux or Dublin
- Singapore Variable Capital Company (VCC)
- Mauritius fund structure
- Dutch/Cyprus route
- New routes such as France and Netherlands.

### SERVICING ALL CLIENT SEGMENTS — DOMESTIC, FPI AND OUTBOUND

- Asset managers (MFs)
- Sovereign wealth funds (SWFs)
- Insurance companies and pension funds
- Banks and brokers
- Alternative Investment Funds (AIF)/ Private Equity funds/Hedge funds
- Corporates

### ADDED VALUE SERVICES

- Physical safekeeping
- E-voting and proxy voting
- Cash management services
- Intraday credit limit
- Derivatives clearing and execution
- Forex and treasury related services, including third party FX and auto FX
- Tax support through leading tax firms
- Brokerage services
- Fund accounting
- Transfer agency



## FINANCIAL STRENGTH

As a wholly-owned branch of the BNP Paribas Group, our clients are reassured by our status as a global systemically important banks (G-SIBs). We have a strong credit rating and the highest solvency ratio in our history, as well as a diverse business portfolio across the group.

With over 90 per cent of clients' assets safe kept within our proprietary network globally, we ensure the highest level of operational efficiency and asset protection.

We have a strong risk and control framework that is enforced at both a global and local level. Our internal control framework is continually reviewed for security and robustness – enabling our continued growth in a controlled environment.



## MARKET-LEADING SOLUTIONS FOR YOUR BUSINESS

We believe the key to successful post-trade processing is a partner who is at home in the local market. As a leader of asset servicing in India, we believe that our local custody service sets a foundation for a secure and efficient offer to our clients. Combined with our global footprint and our operational centres across four continents, we provide the optimal mix of local expertise and global solutions.







## MARKET INTELLIGENCE AT YOUR FINGERTIPS

We provide thought leadership on a vast array of topics, covering more than 90 markets and a wide range of products and services. You will benefit from privileged access to our updates through email subscription and our online client portal – NeoLink.

In addition to this we spend time with our clients to ensure they are informed and understand the impact of industry changes to adapt and/or take advantage of any new initiatives or product offerings.



## SERVICE EXCELLENCE

Our industry recognition and awards illustrate our commitment to service excellence:

Asia Pacific Asia Risk 2019	<b>CUSTODIAN OF THE YEAR</b>	Asia Pacific Asia Asset Management 2017, 2018, 2019, 2020	<b>BEST GLOBAL CUSTODIAN</b>	Asset Servicing, Asia Pacific Global Custodian Leaders in Custody 2019	<b>GLOBAL EXCELLENCE AWARDS</b>
Fund of Hedge Funds HFM, Asia HFS Awards 2017, 2019	<b>BEST ADMINISTRATOR</b>	Asian Investor 2016, 2018, 2019, 2020	<b>BEST BANK FOR CROSS-BORDER</b>	Under \$30 bn Single Manager HFM 2019	<b>BEST ADMINISTRATOR</b>
Asia Pacific The Asset 2020	<b>BEST DATA ANALYTICS PROJECT</b>	Nikko AM The Asset 2020	<b>BEST ETF MANDATE</b>	For Stock Connect The Asset 2019	<b>BEST CUSTODIAN</b>
Alternatives The Asset 2019	<b>BEST FUND ADMINISTRATOR</b>	For Kiwi Wealth The Asset 2019	<b>BEST CUSTODY MANDATE, ASSET MANAGER</b>	Highly Commended The Asset 2019	<b>BEST CUSTODY SPECIALIST, FUND MANAGERS</b>
Broker Dealer The Asset 2017, 2018, 2019	<b>BEST SUBCUSTODIAN</b>	<div><div> HFMWeek Asia Hedge Fund Award 2019 Winner BNP Paribas</div><div> Asian ASSET MANAGEMENT AWARDS 2019</div><div> ASSET ASIAN AWARDS 2020 TRIPLE A</div><div> BEST OF THE BEST AWARDS 2020 Asia Asset Management</div><div> Global Custodian LEADERS IN CUSTODY Anniversary Special</div><div> Asia Risk Awards 2019</div><div> BNP Paribas Securities Services Custodian of the year</div><div> 30 Years GLOBAL INVESTOR</div></div>			

FOR ADDITIONAL INFORMATION, PLEASE CONTACT

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BNP Paribas Securities Services is incorporated in France as a partnership limited by shares and is authorized and supervised by the ACPR (Autorité de Contrôle Prudentiel et de Résolution) and the AMF (Autorité des Marchés Financiers). In India, BNP Paribas Securities Services operates as a department of BNP Paribas (a bank) and is a registered custodian under the SEBI (Custodian of Securities) Regulations, 1996 and is regulated by the Securities Exchange Board of India. BNP Paribas (the bank) in India is regulated by Reserve Bank of India.

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