

SECURITIES SERVICES

PROVIDING RESPONSIBLE
SERVICE TO OUR CLIENTS

securities.cib.bnpparibas/de



BNP PARIBAS

The bank
for a changing
world

GLOBALLY

IN GERMANY

BNP PARIBAS

- One of the leading banks in the eurozone
- 190,000 employees
- Present in 65 countries throughout the world

- Established in 1947
- 6,000 employees
- With multiple business units

SECURITIES SERVICES



€ 10,798 billion assets under custody



27 countries in our proprietary network

Over 90 markets with global custody access

Over 70 equities & derivatives clearing memberships



€ 608 billion assets under depositary



30 management companies

217 asset managers

90 institutional investors

243 banks and brokers, corporates

300 employees

More than 17 million settled transactions per year

As at January 2023

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BNP PARIBAS

The bank for a changing world

Securities and asset servicing are a dynamic business. They are developing and moving as fast as the world we live in. Securities Services can be your guide in this constantly changing world – as a reliable, experienced and strong partner. We enjoy the trust of many clients in Germany in our position as the market-leading depositary bank for securities and real estate funds. We are proud of the responsibility that this entails, and are highly motivated to develop more individual solutions and excellent products. Intelligent data management, artificial intelligence and robotics automation are key priorities for our clients. In addition we take a proactive stance in creating the requisite regulatory change processes and in influencing the market infrastructure for the benefit of our clients.

GERMAN CLIENTS TRUST SECURITIES SERVICES

We invite you to entrust the assets of your company, your bank or your client to a bank with a proven reputation and many years' experience.

Securities Services is one of the leading global custodians and provides asset and investment servicing for the entire investment cycle. We are able to provide you with the value chain for all client segments from one organisation, with a service range covering innovative product solutions for banks, brokers, asset owners, corporates, asset managers, fund, financing and liquidity services as well as issuers. Our highest priority is given to caring for your capital assets with maximum security and reliability.

We can provide you as a client in Germany with our experience and local market expertise, coupled with our broad global network and the strong international position of the BNP Paribas Group. The success of your business is the focal point of all our activities. We listen to you, develop integral solutions and undertake operative processes in order for you to be able to focus fully on your core competences.

In Germany we support you with well-versed teams, for whom regular training and continual learning as well as efficient decision-making processes are standard practices. We welcome taking on new challenges for you!

OUR SOLUTIONS AND PRODUCTS FOR ...



INSTITUTIONAL INVESTORS

Your securities, real estate, alternative and digital assets are in safe hands with us. We can support you in executing your asset strategies in over 90 markets and for all asset classes such as real estate, infrastructure and private capital. We invite you to use our platform as a strong foundation for your asset and hedging decisions, and to guide your asset managers with interactive risk and performance tools. Minimise your risks with our collateral management and foreign exchange solutions. Increase your asset performance through our flexible securities loan programmes, which combine principal and agency loans, and with our cash management solutions such as real-time cash forecasting, cash sweeping and repos. We maximise protection of your assets through a combination of the financial strength of BNP Paribas and our proprietary network, with which we provide in-house custody for 90% of our clients' assets. Our Environmental, Social and Governance (ESG) analysis can assist you with frequent monitoring of your investment strategies for sustainability.

We take an active role in important German and international advisory organisations to ensure your interests such as investor protection and market efficiency are truly represented. We also continually strive to define responses to new regulations and play an active role in further developing Germany as a location for institutional investors.



ASSET MANAGERS AND INVESTMENT FUND COMPANIES

Securities Services has a long tradition of successfully working together with investment management companies, asset managers and real estate management companies. Our service range for investment fund companies and asset managers offers broad solutions which enable asset management strategies to be executed in over 90 countries.

We are also a broad service provider for German SICAV's and limited partnerships. Securities Services is a recognised quality service provider who can broaden your product range and reduce complexity and costs. To this end, we assist our clients in meeting regulatory requirements.

We can support you with solutions such as listed and OTC derivative clearing, bilateral and tri-party collateral management as well as comprehensive insourcing concepts for fund administration, back and middle-office functions. Our aim is to facilitate your daily business and to reduce fixed costs. Broaden your business with innovative products in all asset classes and take advantage of loan administration, securities lending, dealing services, fund trading and cash management solutions to improve your performance.

We offer modular performance and risk analysis of assets including a web-based reporting solution. Our clients can take advantage of our comprehensive ESG reporting, especially given sustainable disclosure obligations (SFDR). These services assist you in enhancing performance, and at the same time provide a clear picture of quantitative and long-term risks so that you can obtain a consolidated view.



OUR SOLUTIONS AND PRODUCTS FOR ...

BANKS AND BROKERS

Securities Services allows you to focus on your core competences. We are a reliable partner to support fully your downstream post-trade processes, offering comprehensive solutions for all financial instruments, from shares and bonds to funds, ETFs and derivatives.

We are a leading provider for institutional clients, with on average over 17 million settled transactions per year. We offer you a broad range of attractive services based on state-of-the-art technology and elaborate tailor-made solutions for processing, custody and administration of your securities.

We support your trading activities using efficient, scalable processes with a high degree of automation in clearing and securities settlements. We can also provide you with the service of executing your trade orders in Germany and across the world. Our additional innovative solutions such as netting modules, matching algorithms, delivery prioritisation and buy-in management allow us to streamline your business processes. Fully integrated services for corporate actions, interest payments and tax reclaims are available for you. SWIFT can provide you with a comprehensive choice of accompanying reports. In addition, our own corporate online tool offers you the possibility of having a complete overview of your business at all times.

Our first-class ratings and the financial strength of our global organisation offer you the best possible protection for your assets and reduce your risk. The global BNP Paribas custody network, comprehensive risk management, certified control processes and our expert support in the case of regulatory challenges make us your optimal partner.

CORPORATES AND ISSUERS

Securities Services offers you smooth access to capital markets worldwide through our global network coupled with our local presence. We provide corporates and issuers with sustainable solutions in complex markets. These include tailor-made technical processing of all corporate actions, including international takeover offers, dividends and IPO's, as well as flexible and innovative administration and trustee solutions.

We can also assist you with a complete service offering for global issuance of warrants, certificates and all forms of bonds. We offer a broad service portfolio for loan and credit administration.

ADVANTAGES AT A GLANCE

- Part of the strong global BNP Paribas group
- One of the five leading global custodians
- Largest depositary in Germany
- Germany is a key market for the Group's growth strategy
- Outstanding reputation based on continual performance
- Derivatives clearing provider
- Innovative, bespoke products and solutions
- Long experience with a broad spectrum of clients
- High performance IT infrastructure and systems
- Strong investment in digital transformation
- Comprehensive reporting services
- Dedicated client relationship concept
- Follow-the-sun services

CORPORATE SOCIAL RESPONSIBILITY

A committed and responsible bank

THE ECONOMY	OUR PEOPLE	THE COMMUNITY	THE ENVIRONMENT
Financing the economy in an ethical manner	Developing and engaging our people responsibly	Being a positive agent for change	Combatting climate change



THE BNP PARIBAS FOUNDATION IN GERMANY: Create Opportunities – Overcome Boundaries

Our mission:

Improving the chances of children and youths through education and arts. Developing open-minded, self-motivated, and responsible citizens in Germany, Europe and beyond. Actively supporting protection of the environment.

The BNP Paribas Foundation is a reliable and responsible partner unique to the BNP Paribas Group in Germany.



www.bnpparibas.de/en/responsibility
[linkedin.com/company/bnp-paribas-stiftung](https://www.linkedin.com/company/bnp-paribas-stiftung)

THE BNP PARIBAS GROUP IN GERMANY



ARVAL **BNP PARIBAS GROUP**

Full service leasing and fleet management



BNP PARIBAS **ASSET MANAGEMENT**

Institutional asset management and wholesale



BNP PARIBAS **CARDIF**

Insurance, consultancy on processes and distribution



BNP PARIBAS **CORPORATE & INSTITUTIONAL BANKING**

Corporate client business, consultancy and capital market transactions



BNP PARIBAS **FACTOR**

Receivables financing and working capital optimization



BNP PARIBAS **LEASING SOLUTIONS**

Financing, leasing and rental solutions for commercial investments



BNP PARIBAS **REAL ESTATE**

Transaction, consulting, valuation and management of commercial real estate

& BNP Paribas Real Estate
Investment Management

Consors
bank !

by BNP PARIBAS

Direct bank, online banking, brokerage and private investment advice



BNP PARIBAS **SECURITIES SERVICES**

Asset servicing for securities, real estate and private capital



CONSORS FINANZ **BNP PARIBAS**

Sales financing / consumer credits



BNP PARIBAS **WEALTH MANAGEMENT**

Wealth structuring and advisory



DAB **BNP PARIBAS**

B2B partner for independent asset managers



DESIGN AND CONTENT

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Status: December 2022



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